

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No

V637-01922 US

First Inventor or Application Identifier

James R. BIARD et al.

Title

GAIN GUIDE IMPLANT IN OXIDE VERTICAL CAVITY SURFACE EMITTING LASER

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

ACCOMPANYING APPLICATION PARTS

2. ☒ Specification Total Pages 21

6. ☐ Assignment Papers (cover sheet & document(s))

3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets 2

7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney

8. ☐ English Translation Document (if applicable)

4. ☐ Oath or Declaration Total Pages

9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

10. ☐ Preliminary Amendment

- a. ☐ Newly executed (original or copy)

11. ☒ White Advance Serial No. Postcard

12. ☐ Small Entity Statement(s) ☐ Statement filed in prior application. Status still proper and desired.

- b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 15 completed)

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b)

13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. ☐ Other:

5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

Check for \$ 0.00

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner: Group Art Unit:

16. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)

of application Serial No. Filed on

☐ This application claims priority of provisional application Serial No.

Filed

17. CORRESPONDENCE ADDRESS

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Registration No.: 33,920

Signature: John M Kelly

Date: December 28, 2001

Name:

Registration No.:

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL For FY 2001		COMPLETE IF KNOWN	
Patent fees are subject to annual revision		Application No.	T.B.D.
		Filing Date	December 28, 2001
		First Named Inventor	James R. BIARD et al.
		Group Art Unit	T.B.D.
		Examiner Name	T.B.D.
		Attorney Docket No.	V637-01922 US
TOTAL AMOUNT OF PAYMENT \$830.00			

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)																																																																																																																																																																																																										
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.</p> <p>Deposit Account Number: <u>01-1125</u></p> <p>Deposit Account Name: <u>Honeywell International Inc</u></p> <p><input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th colspan="2">Large Entity</th><th colspan="2">Small Entity</th><th rowspan="2">Fee Description</th><th rowspan="2">Fee Paid</th></tr><tr><th>Fee Code</th><th>Fee \$</th><th>Fee Code</th><th>Fee \$</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>147</td><td>2,250</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>138</td><td>1,150</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY			Complete (if applicable)	
Name (Print / Type)	Andrew A. Abeyta	Registration No (Attorney/Agent)	39,582	Telephone
Signature	<i>John W. Kelly, 33,900, for Andrew Abeyta</i>			602-313-3345
				Date
				12/28/2001

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